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**UTILITY PATENT APPLICATION TRANSMITTAL**  
*(Only for new nonprovisional applications under 37 CFR § 1.53(b))*

Attorney Docket No.	1488.0560002/EKS/SGW
First Inventor or Application Identifier	Jian NI
Title	Galectin 8, 9, 10 and 10SV
Express Mail Label No.	

**APPLICATION ELEMENTS***See MPEP chapter 600 concerning utility patent application contents.*Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

JC542 U S PTO

03/05/99

1.  \* Fee Transmittal Form (*e.g., PTO/SB/17*)  
*(Submit an original, and a duplicate for fee processing)*

2.  Specification [Total Pages 91]  
*(preferred arrangement set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 15]

4.  Oath or Declaration [Total Pages 2]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional with Box 17 completed*)  
*[Note Box 5 below]*
  - i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (*useable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*

10.  English Translation Document (*if applicable*)

11.  Information Disclosure  Copies of IDS Citations Statement (IDS)/PTO-1449

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

14.  \*Small Entity Statement(s)  Statement filed in prior application, Status still proper and desired  
*(PTO/SB/09-1)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Other: 37 C.F.R. § 1.136(a)(3) Authorization  
 Other: Request to Open New Disk File

*\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).*

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation     Divisional     Continuation-in-Part (CIP) of prior application No: 08/946,914Prior application information: Examiner Sun-Hoffman, L. Group/Art Unit: 1642**18. CORRESPONDENCE ADDRESS** Customer Number  
or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

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SIGNATURE		Date	3/5/99

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ni *et al.*

Appl. No. To be assigned

(Divisional of Appl. No. 08/946,914  
Filed: October 9, 1997)

Filed: Herewith

For: **Galectin 8, 9, 10 and 10SV**

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 1488.0560002/EKS/SGW

**Request to Open New Disk File**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request that a new disk file be opened for the above-cited application. The Sequence Listing disk submitted on **October 9, 1997** in the parent, Application No. **08/946,914**, filed **October 9, 1997** contains the identical sequence information as that in the present application.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Stephen G. Whiteside  
Attorney for Applicants  
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Date: 3/5/99

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